



Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 5 of 5

**Complete If Known**

Application Number	10/630,350
Filing Date	July 29, 2003
First Named Inventor	Victor B. Kley
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	020921-000411US

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	133	AGER et al., "Multilayer hard carbon films with low wear rates," <u>Surface and Coatings Technology</u> , 91:91-94 (1997).	
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	135	DAI et al. " Nanotubes as nanopores in scanning probe microscopy," <u>Nature</u> 384:147-150 (1996).	
	138	DAVIS "Deposition characterization and device development in diamond silicon carbide and gallium nitride thin films" <u>J. Vac. Sci. Technol. A</u> 11(4), Jul./Aug. (1993).	
	137	DIAZ, D.C., et al., An Improved Fabrication Technique for Porous Silicon, <u>Rev. Sci. Instrum.</u> 64 (2), Feb. 1993, pp. 507-509.	
	138	GIVARGIZOV et al "Growth of diamond particles on sharpened silicon tips" <u>Materials Letters</u> 18:(1993).	
	139	GOMYU, H., et al. Effect of Electrochemical Treatments on the Photoluminescence from Porous Silicon, <u>J.</u> <u>Electrochem. Soc.</u> , vol. 139, No. 9, Sep. 1992, pp. L88-L88.	
	140	NOSSARZEWSKA-ORLOWSKA, E., et al., Photoluminescence Properties of Porous Silicon Prepared by Electrochemical Etching of Si Epitaxial Layer, <u>Acta Physica Polonica A</u> , No.4, vol. 84 (1993), pp. 713-716.	
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	142	ROSSOW, U., et al., Influence of the Formation Conditions on the Microstructure of Porous Silicon Layers Studied by Spectroscopic Ellipsometry, <u>Thin Solid Films</u> , 255 (1995), pp. 6-8.	
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	145	TOLEDO-CROW et al "Near-field differential scanning optical microscope with atomic force regulation" <u>Appl. Phys.</u> <u>Lett.</u> 60: (1992).	
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Sheet 4 of 5

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Attorney Docket Number	020921-000411US

## U.S. PATENT DOCUMENTS+

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
QA	124	3,588,885	06-22-1971	Baker et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
QA	125	PCT	WO 01/03157		01-11-2001			
	126	PCT	WO 98/34092	A2	08-06-1998			
	127	PCT	WO 97/04448		02-06-1997			
	128	PCT	WO 96/03841	A1	02-08-1996			
	129	JP	7-105580		04-21-1995			
	130	JP	61-133085		06-20-1986			yes abstract only
	131	JP	1-262403		10-19-1989			abstract only
	132	EP	0325058		07-26-1989			

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	84	5,231,288	07-27-1993	Kajimura et al.	
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Sheet 2 of 5

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First Named Inventor	Victor B. Kley
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Attorney Docket Number	020921-000411US

**U.S. PATENT DOCUMENTS\***

Examiner Initials	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
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Sheet

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		Number Kind Code <sup>2</sup> (if known)			
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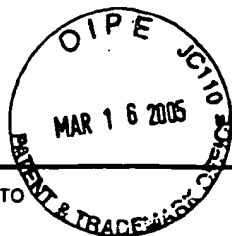
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PTO/SB/08A (08-03)



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Examiner Signature	Nabil Hindi	Date Considered	8/4/05
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		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
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	41	PCT	WO 03/046473		06-05-2003	General Nanotechnology		<input type="checkbox"/>

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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete If Known</b>			
		Application Number	10/630350		
		Filing Date	July 29, 2003		
		First Named Inventor	Victor B. Kley		
		Art Unit	2655		
		Examiner Name	Nabil Z. Hindi		
Sheet	3	of	3	Attorney Docket Number	020921-000411US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	42	JASCHKE et al. "Deposition of Organic Material by the Tip of a Scanning Force Microscope," Langmuir 11:1061-1084 (1995).	

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